

FIG. 1

09535877, 032800

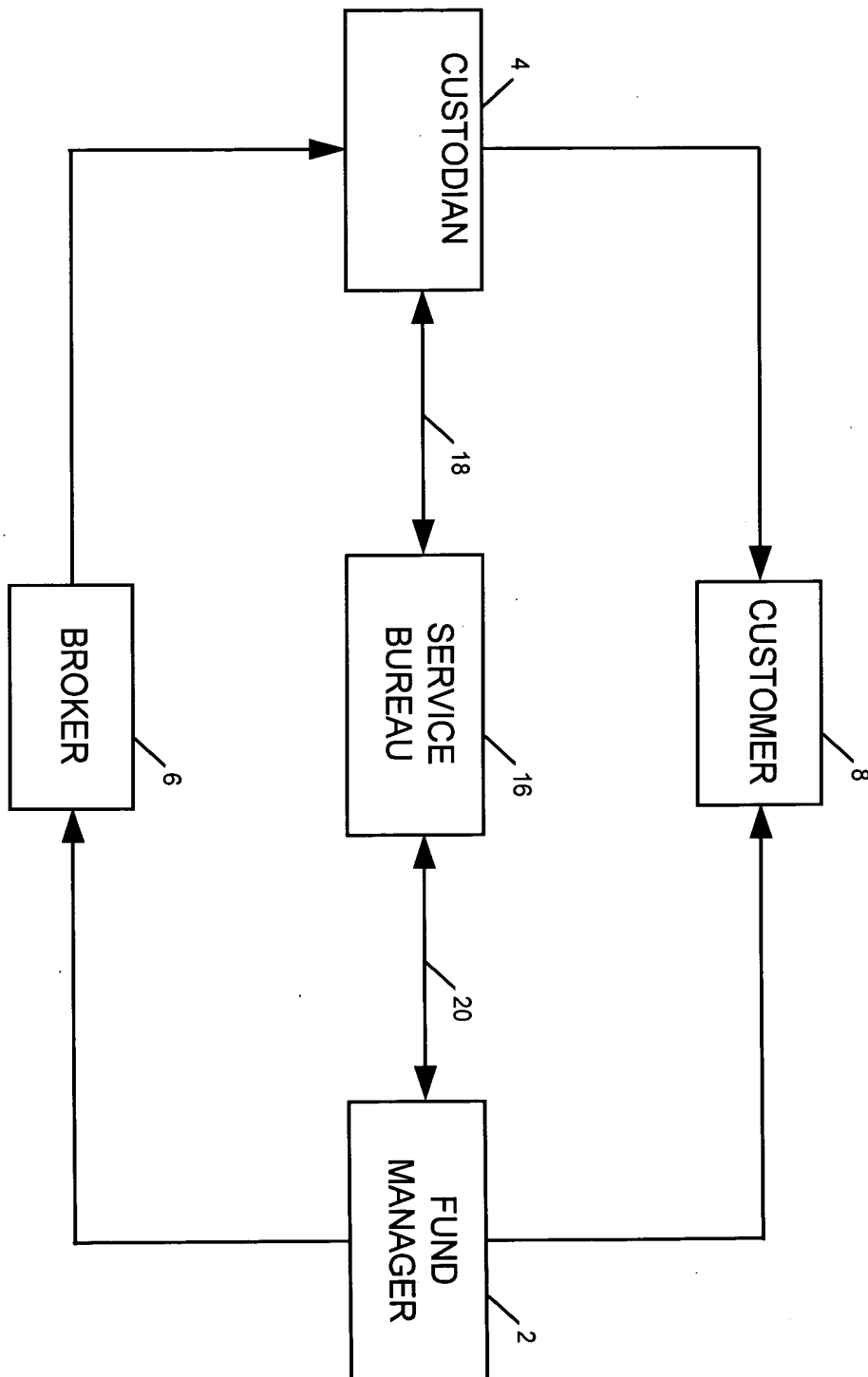


FIG. 2

09535877 032800

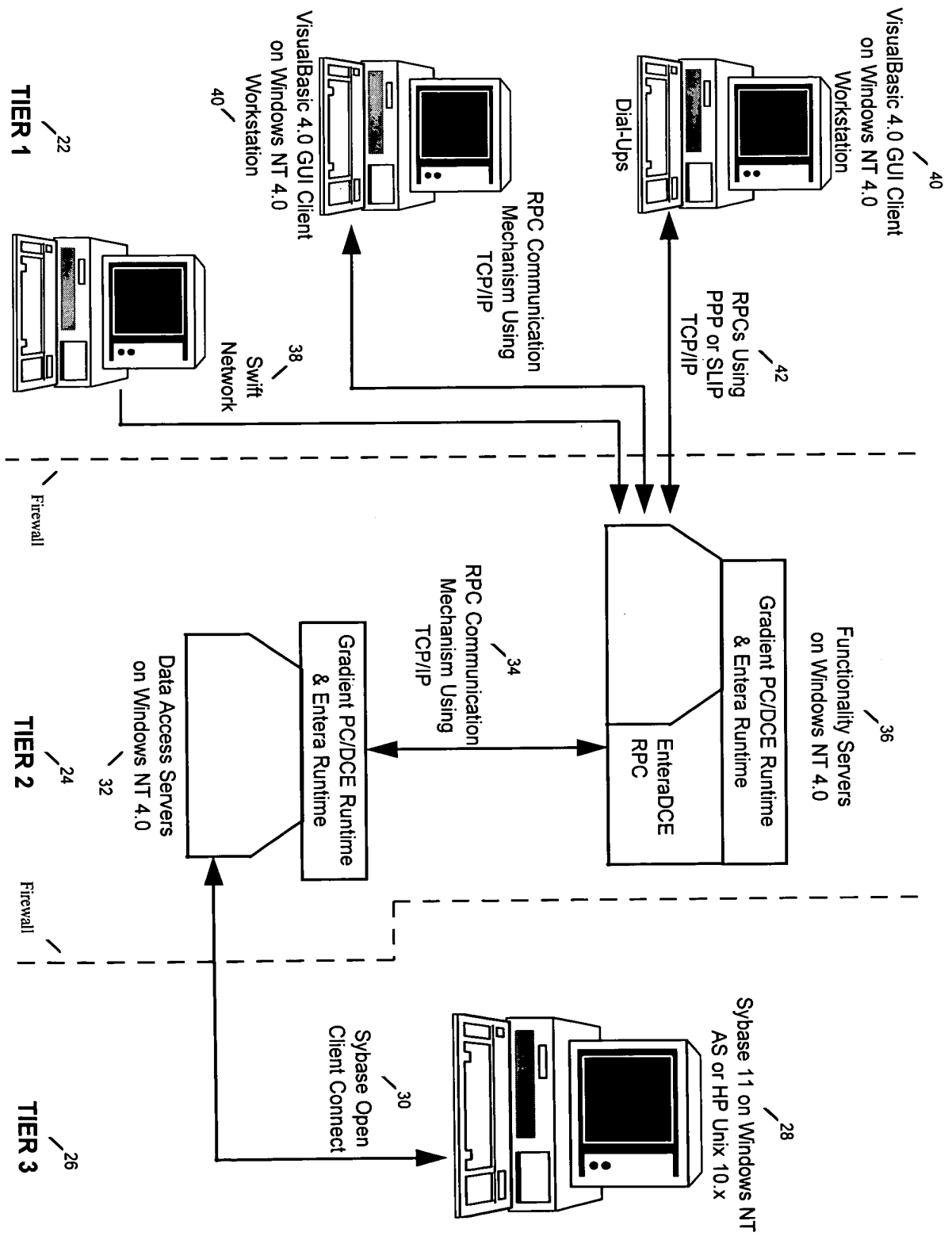


FIG. 3

09335877 032800

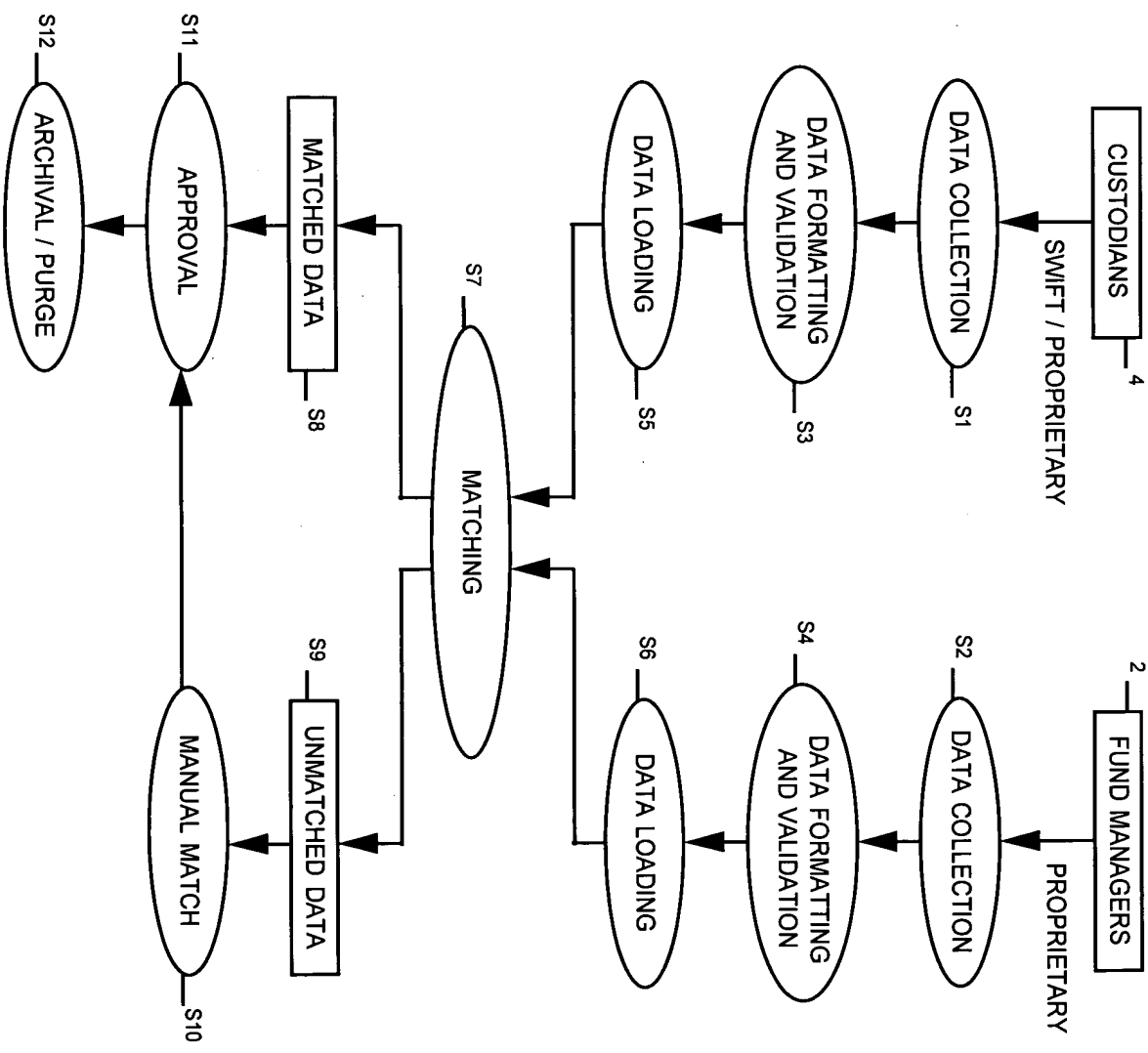


FIG. 4

09535877, 032800

147567406

EXPRESS MAIL NO. _____

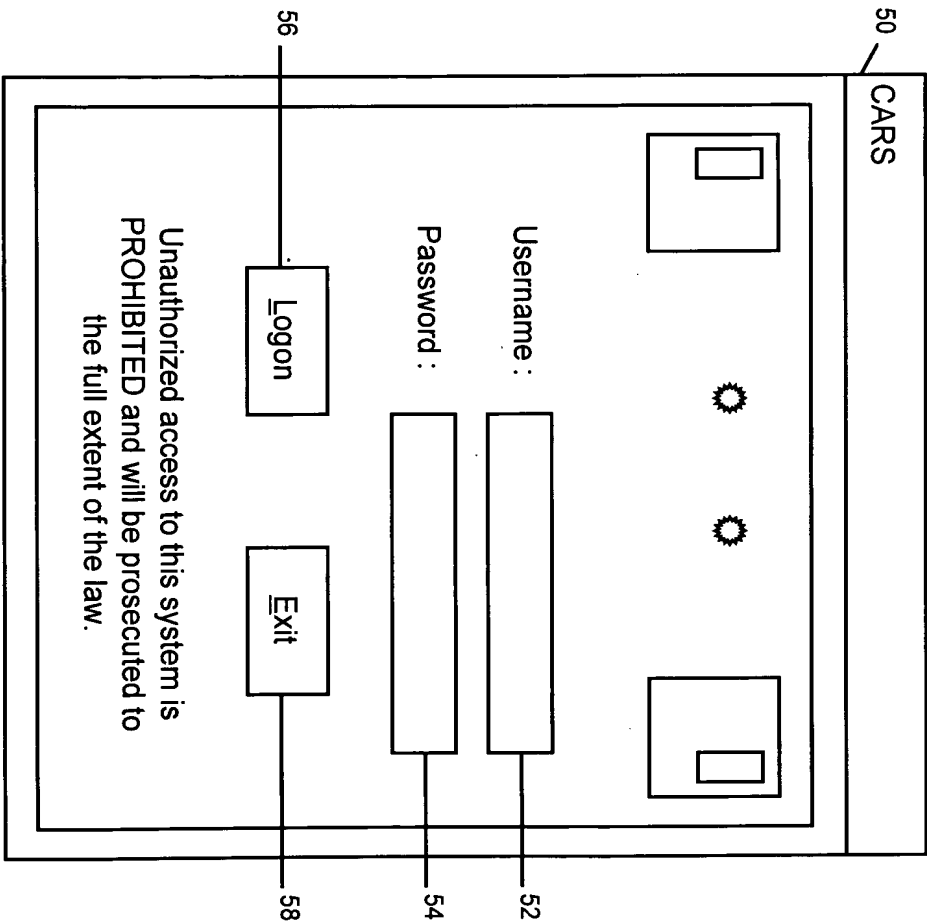


FIG. 5

09335877 032800

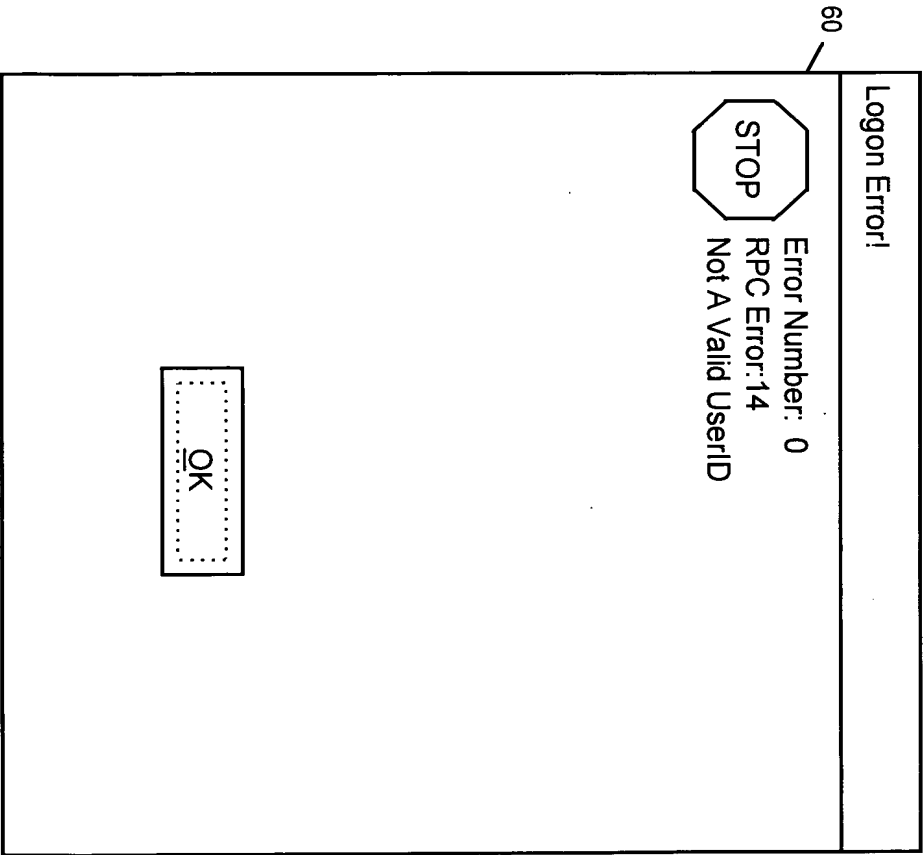


FIG. 6

09535877, 032800

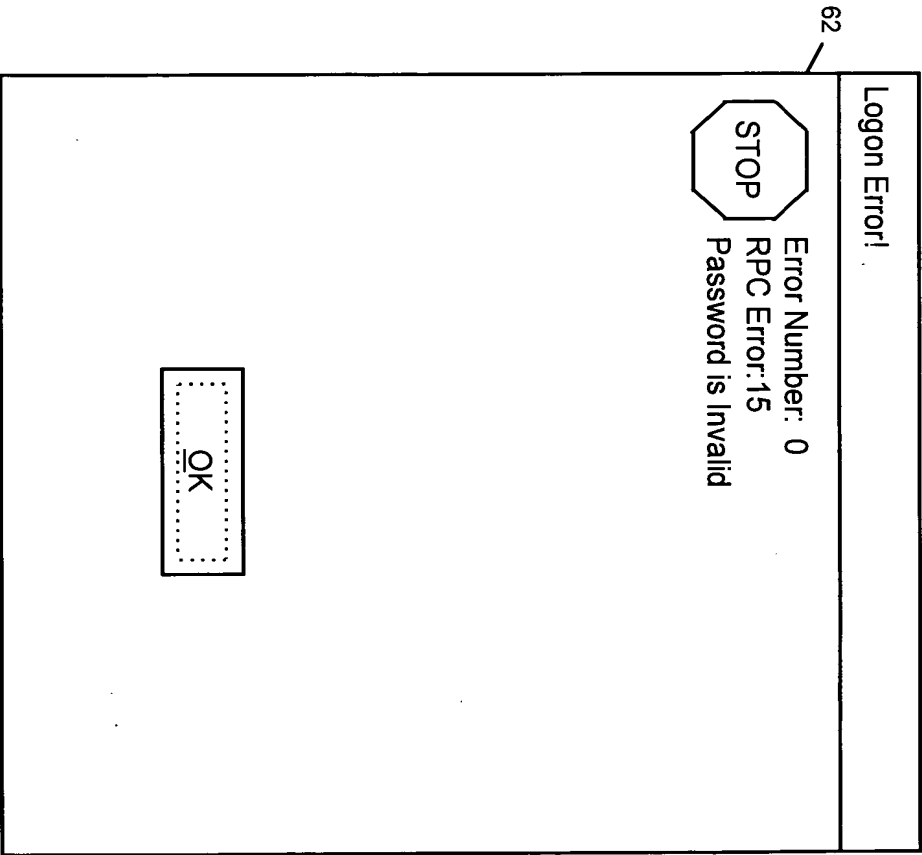


FIG. 7

09535877 032800

147567406

EXPRESS MAIL NO. _____

64

CARS - Main Menu		User - SYSADMIN-USER		-	□	X									
66	File	68	View	70	Administration	72	Setup	74	Query	76	Reports	78	Security	80	Help

FIG. 8

09535877 " 032800

82 — Password Change X

84 — Present Password *****

86 — New Password *****

88 — Confirm Password *****

90 — OK

Close

Help

FIG. 9

09535877 " 032800

92

User Group - List All

X

UserGroupID	UserGroup Name	Grou
CustServ	Customer Service	5
FMGRP1	Fund Manager Group 1	5
FMGRP2	Fund Manager Group 2	5
SysAdmin	System Administrator — 96	1
SystemAdmin	System Administrator	5

▼

▼

Add...

Modify...

Delete...

View...

Clear

Close

Print

Function...

Help

98

104

106

108

102

112

110

FIG. 10

09635877 032800

94 —

User Group - Details (Modify)

X

User Group ID

FMGRP1

User Group Name

Fund Manager Group 1

Group Level

5

Group Is Fund Manager

☒

Created On

01-Jan-1999 05:41:33

Created By

SYSADMIN-USER

LastModifiedOn

01-Jan-1999 10:09:13

Last Modified By

SYSADMIN-USER

OK

Close

Help

100 —

FIG. 11

09535877 032800

System Functions - User Group Mapping

X

UserGroupID FMGRP1

120

Copy

UserGroupID FMGRP2

▼

System Functions Available

AuditLogQuery
AuditLogReport
Currency
CustodianBank
FundManager
FundManager-CustodianMapping
Security Types
Services
SystemFunction
SystemParameter
UserGroups
Users

Add >

122

Remove <

124

System Functions Mapped

118

AccountListByClientRpt
AccountType
ActivityLogQuery
ActivityLogReport
CB-UnmatchedDisplaySetup
Clients
Client-UserMapping
ErrorLogReport
FM-UnmatchedDisplaySetup
FundManager-SecurityMapping
MatchedDisplaySetup
MatchedHoldingsByClientRpt
MatchedTransByClientRpt
MatchGroups
MatchResults
PerMatchedByBank&ClientRpt
SchedulerSetup

▼

Save

Clear

Close

Help

126

128

FIG. 12

09535877 032800

130

User List-All

X

Search On

User ID

UserGroupID

Search

User ID	UserGroup ID	Fund Manager ID	User N
CustServUser	CustServ		Custom
FUser1	FMGRP1	JAMCUKLO001	Fund M
FUser2	FMGRP1	TEMPUSNY001	Fund M
FUser3	FMGRP2	TEMPUSNY002	Fund M
FUser4	FMGRP2	MELYUSNY001	Fund M
SYSADMIN	SysAdmin		SYSAD
SystemAdmin	SystemAdmin		Default

Add...

Modify...

Delete...

View...

Clear

Close

Print

Help

138

142

144

146

136

148

FIG. 13

09535877 " 032800

132 —

User - Details (Modify)

x

User ID

FMUser1

Password

*** *****

User Name

Fund Manager User 1

UserGroupID

FMGRP1

FundManager ID

JAMCUKLO001

User Sees

☒

All Clients

☐

Created On

01-Jan-1999 05:56:51

Created By

SYSADMIN-USER

Last Modified On

09-Jul-1998 06:17:10

Last Modified By

FMUser1

OK

Close

Help

140

FIG. 14

09535877 032800

150

System Parameters - List All

X

Sys Param ID	Sys Param Name	Sys Param Value
SchedulerTime	Scheduler Time	10

Add...

Modify...

Delete...

View...

Clear

Close

Print

Help

154
160
162
164
158
166

FIG. 15

09E35877 032800

152

System Parameters - Details (Modify)

x

System Param ID SchedulerTime

System Param Name Scheduler Time

System Param Value 10

Created On 24-Jun-1998 00:00:00

Created By Through Script

Last Modified On 14-Jul-1998 11:40:12

Last Modified By SYSADMIN-USER

OK

Close

Help

156

FIG. 16

09535877 032800

168

Currency - List All

X

Search On

Currency ID 172

Currency Name 174

176

Currency ID	Currency Name	Country
DEM	Deutsche Mark	Germany
GBP	Great Britain Pound	United Kin
INR	Indian Rupee	India
JPY	Japanese Yen	Japan
KDR	Kuwaiti Dinar	Kuwait
SGD	Singapore Dollar	Singapore
USD	US Dollar	USA

180

184

186

188

178

190

178

178

FIG. 17

09535877 " 032800

170 —

Currency - Details [Add]

X

Currency ID

Currency Name

Deutsche Mark

Country

Germany

Created On

Created By

Last Modified On

Last Modified By

OK

Close

Help

182

FIG. 18

09535877, 032800

Security Types

X

Search On

Security Type ID

Security Type Description

196

198

Search

200

Security Type ID	Security Type Description	Is
NonStdSec	Non Standard Security	
SECURITY1	EQUITY BASED SECURITIES	
Security2	Debt based securities	
Security3	Hybrid securities	

Add...

204

Modify...

208

Delete...

210

View...

212

Clear

202

Close

214

Print

Help

FIG. 19

09535877 032800

194

Security Type - Details [Add]

x

Security Type ID

Security Type Desc

Non Standard Security

Is Specific

☐ Specific

☒ Non Specific

Created On

Created By

Last Modified

Last Modified

OK

Close

Help

206

FIG. 20

09535877, 032800

216

Services - List All

X

Search On

Service Type ID

Service Type Description

Search

Service ID	Service Type Description	Service Type	Service Translation ID
ACCT	Account	F	8
CLIENT	Client	F	9
HLD	Holdings	R	6
SECURITY	SecurityDetails	F	4
TRAN	Transactions	R	7

Add...

Modify...

Delete...

View...

Clear

Close

Print

Help

224

228

230

232

222

220

FIG. 21

09535877.032800

218 —

Services - Details [Add] x

Service Type ID

Service Type Desc

Account

Import/Reconciliation

☒ Import Only ☐ Reconciliation

Service Translation ID

8

Created On

Created By

Last Modified On

Last Modified By

OK

Close

Help

226 —

FIG. 22

09535877 032800

Fund Manager - List All

X

234

Search On

Fund Manager ID

Parent Fund Manager ID

Search

Fund Manager ID	Fund Manager Name	Parent Fund Manager Name	BIC #
JAMCUKL0001	Robin Cook		JAMCUKLO
MELYUSNY001	K. Singh		MELYUSNY
TEMPUSNY001	Mark Mobius		TEMPUSNY
TEMPUSNY002	James Robert	TEMPUSNY001	TEMPUSNY

Add...

Modify...

Delete...

View...

Clear

Close

Print

Services...

Map...

Help

246

250

252

254

244

256

238

240

FIG. 23

09535877 032800

236 Fund Manager - Details [Modify]

☐ Parent Fund Manager

Primary Identification

Fund Mgr ID

TEMPUSNY002

▼

Parent ID

TEMPUSNY001

Name

James Robert

BIC #

TEMPUSNY002

Other Info

Base Currency

USD

▼

Archive After

25

days

Scheduler Preference

☐ Automatic ☒ Manual ☐ Scheduled

Contact Identification

Name

Jamie

Address

32342 Wall Street

City

New York

State

NY

Zip

10025

Country

USA

Phone

212-789-8657

Fax

212-479-6987

E-mail

robert@templeton.com

OK

Close

Help

Last Archived On

Jan 1 1900 12:00AM

Last Archived By

Not archived.

Created On

01-Jan-1999 06:16:41

Created By

SYSADMIN-USER

Last Modified On

01-Dec-1999 08:18:01

Last Modified By

Customer Service User 1

248

FIG. 24

09535877 032800

258

Fund Manager-Services-List for TEMPUSNY002

X

Service Type ID	Import/Reconcile	Service	File Extension	File For
ACCT	Import Only	Daily	act	Tab De
CLIENT	Import Only	Daily	cli	Fixed L
TRAN	Import/Reconcile	Daily	trn	Pipe D

▼

▶

Add...

Modify...

Delete...

View...

Clear

Close

Print

Help

262

268

270

272

266

274

FIG. 25

09535877 " 032800

260 Fund Manager Services-Details [Modify] for TEMPUSNY002

Service Type ID		TRAN		v	
Import Specifications					
Directory	x:\ST\Import\Tran\TempletonChild		Browse...	File Name	Templeton Transactions
File Format	Pipe Delimited		v	File Extension	tm
Table Name	cars_tb_FM_Transactions		v	Last Received On	Jan 1 1999 9:25AM
Match Data Option			File Transfer Mode		
<input type="radio"/> New Data <input type="radio"/> Unmatched Data <input checked="" type="radio"/> All Data			<input type="radio"/> Update Changed Fields <input checked="" type="radio"/> Replace Entire Record		
Service Level			Import/Reconcile		
<input checked="" type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Monthly <input type="radio"/> Others Number Of Days			<input type="radio"/> Import Only <input checked="" type="radio"/> Import + Reconcile		
Archival Specifications					
Directory	x:\ST\Archive\Tran\TempletonChild		Browse...	File Name	tranarchive
Created On	01-Jan-1999 09:25:13		Created By	SYSADMIN-USER	
Last Modified On	01-Jan-1999 09:25:13		Last Modified By	SYSADMIN-USER	
<div>264</div> <div>OK Close Help</div>					

FIG. 26

09535877 " 032800

276

Fund Manager Mapping - List All TEMPUSNY002

X

Service Type ID	Output Field	Import Field	Field Order	Field Is	Field	Δ
ACCT	AccountName	AccountName	4	Y	0	
ACCT	AccountTypeID	AccountTypeID	3	Y	0	
ACCT	BaseCurrency	BaseCurrency	5	Y	0	
ACCT	BIC	BIC	6	Y	0	
ACCT	ClientID	ClientID	1	Y	0	
ACCT	ClientSafeKeep	ClientSafeKeep	7	Y	0	
ACCT	PrimaryAccount	PrimaryAccount	2	Y	0	
ACCT	ClientID	ClientID	1	Y	0	
ACCT	ClientName	ClientName	2	Y	9	
ACCT	CustodianID	CustodianID	3	Y	22	
ACCT	BaseCurrency	BaseCurrency	10	Y	0	
ACCT	BuySellFlag	BuySellFlag	17	Y	0	
ACCT	ClientAccountN	ClientAccountN	8	Y	0	
ACCT	ClientID	ClientID	1	Y	0	▽
◀						▶

Add...280

Modify...294

Delete...296

View...298

Clear292

Close300

Print

Help

FIG. 27

09535877 032800

Fund Manager Mapping - Details [Modify] TEMPUSNY002		x
Service Type ID <input type="text" value="TRAN"/>		
Mapping Details		
CARS FieldName	<input type="text" value="ClientID"/>	Translation Required ? <input type="checkbox"/>
Import FieldName	<input type="text" value="ClientID"/>	282 <input type="text" value="TableName"/>
Field Description	<input type="text" value="ClientID"/>	284 <input type="text" value="Primary Field Name"/>
Permit Match-Rule Definition ?	<input checked="" type="checkbox"/>	286 <input type="text" value="Secondary Field Name"/>
Import Field Details		
Field Mandatory ?	<input checked="" type="checkbox"/>	Permit Replace ? <input type="checkbox"/>
Order	<input type="text" value="1"/>	Start Position <input type="text" value="0"/>
		Length <input type="text" value="0"/>
Created On	<input type="text" value="01-Jan-1999 12:19:06"/>	Created By <input type="text" value="SYSADMIN-USER"/>
Last Modified On	<input type="text" value="01-Jan-1999 13:27:28"/>	Last Modified By <input type="text" value="Fund Manager User 3"/>
<div>OK</div> <div>Close</div> <div>Help</div>		

FIG. 28

09235877 " 032800

Custodians - List All

X

Search On

Custodian ID

Custodian Name

Custodian ID	Custodian Name	Custodian BIC	
CITIUK111	Citibank London	CITIUK111	G
CITIUS111	Citibank New York	CITIUS111	U
CITIUS222	Citibank Chicago	CITIUS222	U

Search

Add...

Modify...

Delete...

View...

Clear

Close

Print

Contacts...

Services...

Map...

Help

FIG. 29

09535877 " 032800

304

Custodian - Details [Modify]

X

Custodian ID

CITTUK111

Custodian Name

Citibank London

BIC

CITTUK111

Parent Custodian

CITICORP GROUP

Base Currency

GBP

V

Created On

01-Jan-1999 07:10:15

Created By

Customer Service User 1

Last Modified On

01-Jan-1999 07:10:15

Last Modified By

Customer Service User 1

OK

Close

Help

316

FIG. 30

091315877 032800

332

Contacts for CITIUS111 - List All

X

Contact Person	First Name	Phone Number
Angella	Angella	212-547-9000
Jamie	Jamie	212-547-9000

Add...336

Modify...342

Delete...344

View...346

Clear340

Close348

Print

Help

FIG. 31

09535877 032800

334 —

Contact - Details [Modify] X

Contact Person	Angella	Salutation	Ms.
First Name	Angella	Last Name	Christie
Address	E43rd Street	Phone Number	212-547-9000
	New York, USA	Fax Number	212-547-9000
Job Title	ACCMgr	Email ID	angella@city.com

Created On	01-Jan-1999 09:55:20	Created By	SYSADMIN-USER
Last Modified On	01-Jan-1999 09:55:20	Last Modified By	SYSADMIN-USER

338 — OK Close Help

FIG. 32

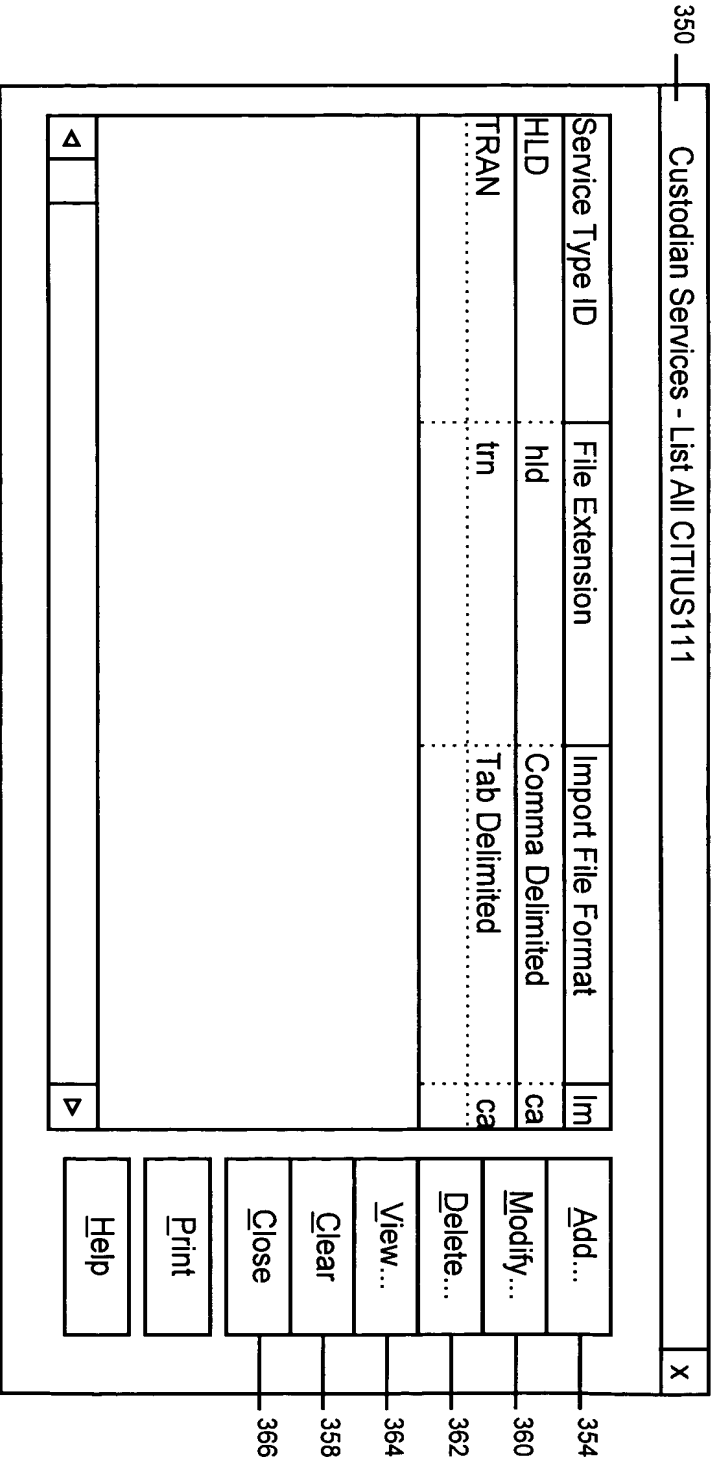


FIG. 33

09535877 " 032800

352

Custodian Services - Details [Modify]

x

Service Type ID

TRAN

▼

Import Specifications

Directory

x:\ST\Import\Tran\CITIUS111

Browse...

File Name

CITIUS1

File Format

Tab Delimited

▼

File Extension

tm

Table Name

cars_tb_CB_Transactions

▼

File Maint Mode

Replace

▼

File Recd On

Jan 1 1900 12:00AM

Rec Start Postn

0

Archival Specifications

Directory

x:\ST\Archive\Tran\CITIUS111

Browse...

File Name

tmarchive

Created On

01-Jan-1999 09:37:00

Created By

SYSADMIN-USER

Last Modified On

01-Jan-1999 09:37:00

Last Modified By

SYSADMIN-USER

356

OK

Close

Help

FIG. 34

09535877 " 032800

368

Custodian Map List - All

X

Custodian ID

CITUS111

Service Type ID	Output Field Name	Import Field Name	▲
HLD	BookValueLocalCurrency	BookValueLocalCu	
HLD	ClientID	Client ID	
HLD	CustodianBIC	CustodianBIC	
HLD	Depository	Depository	
HLD	ExchangeRate	Exchange rate	
HLD	FundMgrID	FundMgrID	
HLD	LocalCurrency	LocalCurrency	
HLD	LongShortIndicator	LongShort	
HLD	MktValBaseCurrency	MktValbase	
HLD	MktValLocalCurrency	MktValLoc	
HLD	OriginalFaceValue	OriginalFaceValue	
HLD	OriginalSecurityID	Original SecID	
▼			▼

Add...

372

Modify...

378

Delete...

380

View...

382

Clear

376

Close

384

Print

Help

FIG. 35

09535877 " 032800

370

Custodian Mapping - Details [Modify]

x

Service Type ID

HLD

▼

Mapping Details

OutputFieldName

ClientID

▼

ImportFieldName

Client ID

▼

FieldDescription

Client ID

▼

Permit Match-Rule Definition ?

☒

Translation Details

Translation Required ?

☐

Table Name

▼

Primary Field Name

▼

Secondary Field Name

▼

Import Field Details

Field Mandatory ?

☒

Permit Replace ?

☒

Default Value

Order

1

Start Position

Length

Created On

01-Jan-1999 12:14:26

Created By

Customer Service User 1

Last Modified On

10-Jul-1998 08:01:44

Last Modified By

Fund Manager User 1

OK

Close

Help

374

FIG. 36

09535877 032800

CARS - CrossMar Automated Reconciliation Services									
<div> <div>File</div> <div>Edit</div> <div>View</div> <div>Administration</div> <div>Setup</div> <div>Query</div> <div>Reports</div> <div>Window</div> <div>Help</div> </div>									
<div> <div>386</div> <div>Scheduler</div> <div>388</div> <div>Funding ID</div> <div>INSTITUTION</div> <div>392</div> <div>Action</div> <div>MATCH</div> <div>Service ID</div> <div>POS</div> <div>Action Profile</div> <div>1</div> <div>Status</div> <div>Enabled</div> <div>Disabled</div> </div>									
<div> <div>414</div> <div>Recurrence Pattern</div> <div>Every</div> <div>420</div> <div>day(s)</div> <div>416</div> <div>Weekly</div> <div>418</div> <div>Monthly</div> </div>									
<div> <div>Range of Recurrence</div> <div>Start Date</div> <div>03/21/2000</div> <div>No End Date</div> <div>End By</div> <div>03/21/2000</div> </div>									
<div> <div>Created On</div> <div>394</div> <div>Created By</div> <div>Last Modified On</div> <div>Last Modified By</div> <div>Now</div> <div>Save</div> <div>Clear</div> <div>Close</div> <div>Help</div> </div>									

428

FIG. 37

430

09335877 032800

Times

X

Time Interval (Min)

10

Fill Times

12:00:00 AM

12:00:00 AM

12:10:00 AM

12:20:00 AM

12:30:00 AM

12:40:00 AM

12:50:00 AM

01:01:00 AM

01:10:00 AM

Add >>

<< Delete

OK

Close

12:00:00 AM

X

FIG. 38

09535877 032800

Client - List

X

Search On

Client ID

Client Name

Client ID

Client Name

Custodian ID

COCACOLA

Coca Cola

CITIUS111

Search

Add...

Modify...

Delete...

View...

Clear

Close

A/c Profile...

Print

Help

FIG. 39

09535877 032800

458

Client - Details [Modify]

Client ID

COCACOLA

Client Name

Coca Cola

Created On

01-Jan-1999 10:57:49

Last Modified On

01-Jan-1999 10:57:49

Custodian ID

CITIUS111

Created By

Fund Manager User2

Last Modified By

Fund Manager User2

OK

Close

Help

472

470

FIG. 40

09535877 032800

484

Account

X

Client ID

COCACOLA

Search On

Primary Account ID

Search

488

PrimaryAccountID	AccountTypeID	AccountName	BaseCurrency	B
COKEACC1	TYPE1	COKE_ACC1	USD	
COKEACC2	TYPE1	COKE_ACC2	DEM	

Add...
Modify...
Delete...
View...
Clear
Close
Print
Help

492
496
498
500
490
502

FIG. 41

09535877 " 032800

486 — Account Details [Modify] X

Client ID ▼

Identification Information —
Primary Account ID
Account Name

Account Information —
Account Type ID ▼
Base Currency ▼

Safekeeping Account Details —
BIC ClientSafekeepingA/C

Import File Information —
Import File Name Imported On
Import Rec Seq No Date Last Received On

Created On Created By

Last Modified On Last Modified By

494 —

FIG. 42

09535877 032800

504

User Client Mapping

X

506

Settings For UserID

FMUser1

Copy

UserID

▼

508

Clients Available

Client20
Client21
Client22
Client23
Client24
Client25
Client26
Client27
Client28
Client29
Client3
Client30
Client31
Client32
Client33
Client34
Client35

▲

514

Add >

516

Remove <

510

Clients Mapped

BRITISHAIR
Client1
Client10
Client11
Client12
Client13
Client14
Client15
Client16
Client17
Client18
Client19
Client2
GENELECTRIC

512

Save

520

Clear

518

Close

Help

FIG. 43

09535877 032800

522 — Account Type - List All

X

525 — Search On —

Account Type ID

526 — Search

Account Type ID	Account Type Desc
TYPE1	TYPE 1 Account for ST

530 — Add...

534 — Modify...

536 — Delete...

538 — View...

528 — Clear

540 — Close

526 — Search

Print

Help

FIG. 44

09535877 032800

524 —

Account Type - Details [Modify]

X

Account Type ID

TYPE1

Account Type Desc

TYPE 1 Account for ST

532

Created On

01-Jan-1999 09:45:15

Created By

Fund Manager User 4

Last Modified On

01-Jan-1999 09:45:15

Last Modified By

Fund Manager User 4

OK

Close

Help

FIG. 45

09535877 032800

542 — Match Group List

X

546 — Search On

548 — Match Group ID

548 — Match Group Name

550 — Search

Service Type ID	MatchGroupID	MatchGroup Name
TRAN	MG01	Match Group 1
TRAN	MG02	Match Group 2
TRAN	MG03	Match Group 3
TRAN	MG04	Match Group 1
HLD	MG01	Match Group 1
HLD	MG02	Match Group 2
HLD	MG03	Match Group 3
HLD	MG04	Match Group 4

554 — Add...

558 — Modify...

560 — Delete...

562 — View...

552 — Clear

568 — Close

Print

564 — Elements...

566 — Levels...

Help

FIG. 46

09535877 032800

544 —

Match Group - Details [Modify]

556

X

Service Type ID

HLD

▼

Match Group ID

MG01

Match Group Name

Match Group 1

Color Code

▼

Match Mode

☒ Automatic

☐ Manual

Comment

Match Group 1

Created On

Created By

Last Modified On

Last Modified By

OK

Close

Help

FIG. 47

09535877 032800

570

Match Group Element List

X

Service Type ID

HLD

Match GroupID

MG01

Field to	Field to	Match Order	Match Rule	Nominal Tol.	Percent
ClientID	ClientID	1	A	0	0
PrimarySecur	PrimarySecur	2	A	0	0
ValueDate	ValueDate	3	A	0	0
Quantity	Quantity	4	A	0	0

Add...

574

Modify...

580

Delete...

582

View...

584

Clear

578

Close

586

Print

Help

FIG. 48

09535877 032800

572

Match Group Element - Details [Modify]

576

X

Service Type ID

HLD

Match Group ID

MG01

OK

Field to Match(FM)

ClientID

Field to Match(CB)

ClientID

Close

Aggregate Field (FM) ?

Aggregate Field (CB) ?

Help

Start Char. for Match(FM)

0

Start Char. for Match(CB)

0

Order Of Match

1

Match Rule

☒ Absolute

☐ Mapped

☒ Nominal

☐ Percent

Special Rule Table Required

Table Name for Special Match

Created On

01-Jan-1999 14:16:52

Created By

Fund Manager User 2

Last Modified On

01-Jan-1999 14:16:52

Last Modified By

Fund Manager User 2

FIG. 49

588

Match Group Level List

X

Service Type ID

HLD

Match GroupID

MG01

Custodian ID	Match Grp Level	Security Type ID	Match Grp Level
CITIUS111	1	SECURITY1	LEVEL 1

Add ...

Modify...

Delete...

View...

Clear

Close

Print

Help

592

598

600

602

596

604

FIG. 50

09535877 " 032800

590

Match Group Level - Details [Modify]

X

594

Service Type ID	HLD	Custodian ID	CITIUS111	OK
Match Group ID	MG01	Security Type ID	SECURITY1	Close
Match Group Level No.	1	Color Code	SECURITY1	Help
Match Group Level Desc.	LEVEL 1			
Created On	01-Jan-1999 14:29:32	Created By	Fund Manager User 2	
Last Modified On	31-Dec-1999 06:03:24	Last Modified By	Fund Manager User 2	

FIG. 51

09535877 032800

604 Fund Manager Security Mapping - List All X

Search On
Custodian ID
FM Primary Security ID

Search

Custodian ID	FM Primary Sec ID	CB Primary Sec ID
CITIUS111	KELLOGS 2002 BON	KELLOGS 2002
CITIUS111	KELLOGS EQ US	KELLOGS 2002
CITIUS111	PEPSI EQ US	PEPSI EQ US

Add...
Modify...
Delete...
View...
Clear
Close
Print
Help

608
614
616
618
612
620

FIG. 52

09535877 032800

606 — Fund Manager Security Mapping - Details [Modify] x

Custodian ID	CITIUS111 ▾	Custodian Name	Citibank New York
FM Primary Sec ID	KELLOGS 2002 BON		
CB Primary Sec ID	KELLOGS 2002 BON		

Created On	01-Jan-1999 14:53:57	Created By	Fund Manager User 2
Last Modified On	01-Jan-1999 14:53:57	Last Modified By	Fund Manager User 2

OK

Close

Help

610

FIG. 53

09535877 032800

622 — Fund Manager UnMatched Result Display Setup

x

624 — Service Type ID

TRAN

626 —

▼

Available Fields

BaseCurrency
LocalCurrency
MarketValueBaseCurrency
MarketValueLocalCurrency
OriginalFaceValue
TransactionType

628 — Add >

634 — < Remove

Selected Fields

ClientID
PrimarySecurityID
BuySellFlag
Quantity
Price
ExchangeRate
SettlementDate
ShortSellFlag
PrimaryAccountID
StatementDate
TradeDate
TransactionReferenceNo
ClientAccountNo

630 —

⌵

632 — Save

Close

Clear

Help

FIG. 54

09535877 032800

636
Matched Summary Display Database : Current

— □ x

Date Range
 Period From 01-Jul-1998 ▼

Period To 01-Jul-2098 ▼

Fund Manager ID JAMCUKL0001 ▼

Service Type HLD ▼

Query

Client ID	Value Date	Total Records [F]	% Matched	Total Records [C]	% Matched
▶ BRITISHAIR	31-Dec-1999	3	66.66	3	66.66
GENELECTRIC	31-Dec-1999	3	66.66	3	66.66
*					

▶
▶

Delete
Lock
Unlock
Match
Unmatch
Approve
Unapprove
Archive
Unarchive
Print
Help
Close

FIG. 55

09535877 032800

662

Matched Holdings List for Fund Manager : JAMCUKLO001, Client ID : BRITISHAIR, Value Date : 31-Dec-1999

666

668

670

672

674

676

Comments

Unmatch

View Details

Print

Help

Close

Subscriber	MatchedReference	FundMgrid	PrimaryAccountID	SecurityTypeID	MktValLoca
F	200101290732051	JAMCUKLO001	BAACC1		1.500000
C	200101290732051	JAMCUKLO001	BA SafeAccount1	SECURITY1	1.500000
F	200101290732053	JAMCUKLO001	BAACC1		25.000000
C	200101290732052	JAMCUKLO001	BA SafeAccount1	SECURITY1	25.000000
*					

FIG. 56

09535877 032800

664

X

Subscriber	MatchedReference	StatementDate	TransactionReference	TradeDate	LocalCurr
F	200101290733071	Jan 1 2000 12:00AM	1	Dec 31 1999 12:00AM	GBP
C	200101290733071	Jan 1 2000 12:00AM	BAUK1001a	Dec 31 1999 12:00AM	GBP
C	200101290733071	Jan 1 2000 12:00AM	BAUK1001b	Dec 31 1999 12:00AM	GBP
F	200101290733082	Jan 1 2000 12:00AM	3	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003a	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003b	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003c	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003d	Dec 31 1999 12:00AM	USD
C	200101290733082	Jan 1 2000 12:00AM	BAUK1003e	Dec 31 1999 12:00AM	USD
*					

Comments

Unmatch

View Details

Print

Help

Close

666

668

670

672

674

676

FIG. 57

09535877 032800

678

Unmatched Transactions List for Fund Manager : JAMCUKLO001, Client ID : BRITISHAIR, Statement Date : 01-Jan-20... x

Manager					
ClientID	PrimarySecurityID	BuySellFlag	Quantity	Price	ExchangeR
BRITISHAIR	SHELL2002BONDSUK	S	1000.000000	25.000000	1.00000

▼	▼
---	---

Bank					
BankReferenceNo	BuySellIndicator	ClientID	FundMgrID	PrimarySecurityID	Quantity
BAUK1002	S	BRITISHAIR	JAMCUKLO001	SHELL2002BONDSUK	900.000000

▼	▼
---	---

Match	CB Comments	EM Comments	View Details	Print	Help	Close
-------	-------------	-------------	--------------	-------	------	-------

682

684

686

688

690

692

694

FIG. 58

09535877 032800

680

Unmatched Holdings List for Fund Manager : JAMCUKLO001, Client ID : BRITISHAIR, Value Date : 31-Dec-1999

x

Manager					
ClientID	PrimarySecurityID	Quantity	Price	LocalCurrency	PrimaryA
▷ BRITISHAIR	SHELL2002BONDSUK	500.000000	10.000000	USD	BAACCC2

▷

Bank					
ClientID	CustodianBIC	Depository	LongShortIndicator	OriginalSecurityID	Price
▷ BRITISHAIR	CITIUK111	CITIUK	S	OrginalSHELL2002	10.000000

▷

682

Match

684

CB Comments

686

FM Comments

688

View Details

690

Print

692

Help

694

Close

FIG. 59

09535877 " 0328000

716 Activity Log
718
722
720
724

Period From 01-Jul-1998 ▼

Fund Manager IDs JAMCUKLO001 ▼

Period To 01-Jul-2098 ▼

Activity Types All ▼

730 View
734 Close
732 Print
Help

Activity Date	Activity Type ID	User Name	Activity Start End	Activity Description	▲
01-Jan-2000	Approve-Manual	FMUser1	S	Manual Approval of Position	
01-Jan-2000	Approve-Manual	Fund Manager User	E	Manual Approve of Position	
01-Jan-2000	Approve-Manual	FMUser1	S	Manual Approve of Transac	
01-Jan-2000	Approve-Manual	Fund Manager User	E	Manual Approve of Transac	
01-Jan-2000	Approve-Manual	FMUser1	S	Manual Approval of Position	
01-Jan-2000	Approve-Manual	Fund Manager User	E	Manual Approve of Position	
01-Jan-2000	Approve-Manual	FMUser1	S	Manual Approval of Position	
01-Jan-2000	Approve-Manual	Fund Manager User	E	Manual Approve of Position	
01-Feb-2000	Approve-Manual	FMUser1	S	Manual Approve of Transac	
01-Feb-2000	Approve-Manual	Fund Manager User	E	Manual Approve of Transac	
01-Feb-2000	Approve-Manual	FMUser1	S	Manual Approve of Transac	▼

FIG. 61

09535877 032800

736 Account List By Client

x

Clients

740

Client48 - Client
Client49 - Client
Client5 - Client
Client50 - Client
Client6 - Client
Client7 - Client
Client8 - Client
Client9 - Client
XEROX - Xerox

742 Unselect All

Report Action

752 ☒ View

756 ☐ Print

758 ☐ Save In File

754

Report

Clear

Close

Help

FIG. 62

09535877 032800

738 Account List By Client Report										_		X																																																																
<input checked="" type="checkbox"/> <input type="checkbox"/> 1 of 1+		<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Total 52		100%																																																																						
<div> <div> Date 14/Jul/1998 03:29:11PM Account List By Client User Fund Manag </div> <table border="1"> <thead> <tr> <th>ClientID</th> <th>All Client IDs</th> <th>ClientName</th> <th>PrimaryAccountID</th> <th>AccountTypeID</th> <th>AccountName</th> <th>CustodianID</th> </tr> </thead> <tbody> <tr> <td>Client1</td> <td>Client1AS WAS IMPORTED</td> <td></td> <td>744</td> <td>746</td> <td>748</td> <td>750</td> </tr> <tr> <td>Client10</td> <td>Client</td> <td>Acct1</td> <td>TYPE1</td> <td>Acct1</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client11</td> <td>Client</td> <td>Acct10</td> <td>TYPE1</td> <td>Acct10</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client12</td> <td>Client</td> <td>Acct11</td> <td>TYPE1</td> <td>Acct11</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client13</td> <td>Client</td> <td>Acct12</td> <td>TYPE1</td> <td>Acct12</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client14</td> <td>Client</td> <td>Acct13</td> <td>TYPE1</td> <td>Acct13</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client15</td> <td>Client</td> <td>Acct14</td> <td>TYPE1</td> <td>Acct14</td> <td>CITIUS111</td> <td></td> </tr> <tr> <td>Client15</td> <td>Client</td> <td>Acct15</td> <td>TYPE1</td> <td>Acct15</td> <td>CITIUS111</td> <td></td> </tr> </tbody> </table> </div>														ClientID	All Client IDs	ClientName	PrimaryAccountID	AccountTypeID	AccountName	CustodianID	Client1	Client1AS WAS IMPORTED		744	746	748	750	Client10	Client	Acct1	TYPE1	Acct1	CITIUS111		Client11	Client	Acct10	TYPE1	Acct10	CITIUS111		Client12	Client	Acct11	TYPE1	Acct11	CITIUS111		Client13	Client	Acct12	TYPE1	Acct12	CITIUS111		Client14	Client	Acct13	TYPE1	Acct13	CITIUS111		Client15	Client	Acct14	TYPE1	Acct14	CITIUS111		Client15	Client	Acct15	TYPE1	Acct15	CITIUS111	
ClientID	All Client IDs	ClientName	PrimaryAccountID	AccountTypeID	AccountName	CustodianID																																																																						
Client1	Client1AS WAS IMPORTED		744	746	748	750																																																																						
Client10	Client	Acct1	TYPE1	Acct1	CITIUS111																																																																							
Client11	Client	Acct10	TYPE1	Acct10	CITIUS111																																																																							
Client12	Client	Acct11	TYPE1	Acct11	CITIUS111																																																																							
Client13	Client	Acct12	TYPE1	Acct12	CITIUS111																																																																							
Client14	Client	Acct13	TYPE1	Acct13	CITIUS111																																																																							
Client15	Client	Acct14	TYPE1	Acct14	CITIUS111																																																																							
Client15	Client	Acct15	TYPE1	Acct15	CITIUS111																																																																							

FIG. 63

09535877 "032800

756

Activity Log

760

X

762

Period From

14-Jul-1998

▼

Period To

23-Jul-2004

▼

Fund Managers

JAMCUKLO001 - Robin Co
MELYUSNY001 - K. Singh
NEWFM1 - New Fund Mana
TEMPUSNY001 - Mark Mob
TEMPUSNY002 - James Ro

764

Activity Types

Unapprove-Manual
Lock-Manual
Unlock-Manual
Archive-Automatic
Archive-Manual
Unarchive-Manual
Login
Logout
Change-Password

768

▼

766

Unselect All

770

Unselect All

Report Action

772

☒ View

☐ Print

776

☐ Save In File

774

Report

Clear

Close

Help

FIG. 64

09535877 032800

758

Activity Log Report



1 of 1+



10

1

1

Total 8045

100%

1[illegible]

1

Date 14/JUL/1998 03:16:10PM

Activity Log Report

Use

Period From 14/Jul/1998

Activity Type All Activity Types

Period To 23/Jul/2004

<u>Fund Manager</u>	All Fund Manager IDs
---------------------	----------------------

Fund Manager ID
JAMCUKL0001

Activity Type	Start	End	Indicator	User Name	Activity Date Time	Re
Approve-Manual	S			FM/User1	01/Feb/2000 05 : 42 : 19	Manua
Approve-Manual	E			Fund Manager User 1	01/Feb/2000 05 : 42 : 19	Manua
Approve-Manual	S			FM/User1	01/Feb/2000 06 : 10 : 42	Manua
Approve-Manual	E			Fund Manager User 1	01/Feb/2000 06 : 10 : 42	Manua
Approve-Manual	S			FM/User1	01/Feb/2000 06 : 10 : 59	Manua
Approve-Manual	E			Fund Manager User 1	01/Feb/2000 06 : 10 : 59	Manua
Approve-Manual	S			FM/User1	01/Feb/2000 07 : 52 : 14	Manua
Approve-Manual	E			Fund Manager User 1	01/Feb/2000 07 : 52 : 14	Manua
Approve-Manual	S			FM/User1	01/Jan/2000 07 : 26 : 29	Manua
Approve-Manual	E			Fund Manager User 1	01/Jan/2000 07 : 26 : 29	Manua
Approve-Manual	S			FM/User1	01/Jan/2000 07 : 35 : 34	Manua
Approve-Manual	E			Fund Manager User 1	01/Jan/2000 07 : 35 : 34	Manua
Approve-Manual	S			FM/User1	01/Jan/2000 07 : 43 : 39	Manua

FIG. 65

09-267

778		Audit Log		782	784	X
Period From		30-Jun-1989	V	Period To	22-Jul-2005	V
Audit Type						
I - Insert						
U - Update						
D - Delete						
Unselect All 788						
Report Action						
790		<input checked="" type="radio"/> View	794	<input checked="" type="radio"/> Print	796	Save In File
792		Report	Clear	Close	Help	

FIG. 66

09535877 032800

Audit Log Report									
<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> 1 of 1+		<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		Total 1708		100%			
<div> <div> <div>Date</div> <div>14/Jul/1998 02:23:05PM</div> </div> <div> <div>Audit Log Report</div> <div>User Customer</div> </div> </div> <div> <div>Period From</div> <div>30/Jun/1989</div> </div> <div> <div>Audit Types</div> <div>All Audit Types</div> </div> <div> <div>Period To</div> <div>22/Jul/2005</div> </div>									
Audit Type	Table Affected	Audit Date Time	Remarks						
Insert	cars_tb_Account	30/Jun/1998 12:41:19	TYPE1 Acct 6DEM						
			daqsds						
			Fund Manager User 4 Jun 30 1998 12:41 PM						
Insert	cars_tb_Account	30/Jun/1998 12:43:07	TYPE1 samirb INR						
			samirb						
			Fund Manager User 4 Jun 30 1998 12:43 PM						
Delete	cars_tb_Account	30/Jun/1998 12:43:32	TYPE1 samirb INR						
			samirb						
			Fund Manager User 4 Jun 30 1998 12:43 PM						
Insert	cars_tb_Account	30/Jun/1998 12:51:33	TYPE1 DELTA_ACC GBR						

FIG. 67

0967-894X

798		Error Log Report		802	804	X
Period From		14-Jul-1998	V	Period To	29-Jan-2001	V
Fund Manager IDs		JAMCUKLO001 - Robin Cook V				
Report Action		<input checked="" type="radio"/> View ⁸¹⁰ <input type="radio"/> Print ⁸¹² Save In File				
808		Report Clear Close Help				

FIG. 68

09535877 032800

800

Error Log Report

☒

☒

1 of 1+

☐

☒

☒

☐

☐

☐

Total 524

100%

Date14/Jul/19983:26:36PM

Period From14/Jul/1998

Period To29/Jan/2001

Fund Manager IDJAMCUKL0001

Error Date

Error Severity

User ID

31/Dec/199910:10:55Warning

31/Dec/199910:14:59Warning

31/Dec/199910:29:32Fatal

31/Dec/199911:28:13Warning

01/Jan/200005:19:05Warning

01/Jan/200005:19:05Warning

01/Jan/200005:19:06Warning

01/Jan/200005:45:09Warning

01/Jan/200005:45:10Warning

01/Jan/200005:45:10Warning

01/Jan/200005:54:10Warning

01/Jan/200005:54:10Warning

01/Jan/200005:56:10Warning

01/Jan/200007:47:06Fatal

31/Jan/200005:48:38Warning

31/Jan/200007:07:07Warning

31/Jan/200007:08:08Warning

01/Feb/200005:44:40Warning

01/Feb/200005:52:45Warning

01/Feb/200005:59:51Warning

System Initiated

FIG. 69

09535877.032800

814

Percentage Matched Report

X

Period From

14-Jul-1998

▼

818

Period To

29-Jan-2001

▼

820

Service Type IDs

HLD

▼

822

Report Action

☒ View

☐ Print

☐ Save In File

824

828

830

Report

Clear

Close

Help

826

FIG. 70

09535877 032800

816

Percentage Matched By Custodian and Client Report		-	X
<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	1 of 1+	<input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Total 1 100%

Date 14/Jul/1998 03:30:41PM
 Use

Period From 14/Jul/1998
 Service Type ID HLD

Period To 29/Jan/2001

Custodian ID
 Client ID
 FM Records
 % Matched

CITUS111
 COCACOLA
 3
 100.00

Average
 100.00

End of Report

FIG. 71

09535877 032800

832

Matched Holdings By Client

X

Period From

31-Dec-1999

836

▼

Period To

29-Jan-2001

838

▼

Client ID

Client48 - Client

Client49 - Client

Client5 - Client

Client50 - Client

Client6 - Client

Client7 - Client

Client8 - Client

Client9 - Client

COCACOLA - Coca Cola Inc.

▼

840

▼

Unselect All

842

Report Action

844

☐ View

848

Print

850

☐ Save In File

846

Report

Clear

Close

Help

FIG. 72

09535877 032800

834 —

Matched Holdings By Client Report

☒ ☒ 1 of 1+ ☐ ☒ ☒ ☐ ☐ ☐

Total 6 100%

Date 14/Jul/1998 03:33:57PM

Period From 31/Dec/1999

Period To 29/Jan/2001

Bank records in grey background

Matched Holdings By Client

Client ID All Client IDs

ClientID

Value Date	Account No	Security ID	Price	Quantity
COCACOLA				
31/Dec/1999	COKEACCI	KELLOGS EQ US	1.5000	500.0000
31/Dec/1999	COKEACCI	PEPSI EQ US	25.0000	1,000.0000
31/Dec/1999	COKEACC2	KELLOGS 2002 BON	10.0000	500.0000
31/Dec/1999	COKESafeAccount1	KELLOGS EQ US	1.5000	500.0000
31/Dec/1999	COKESafeAccount1	PEPSI EQ US	25.0000	1,000.0000
31/Dec/1999	COKESafeAccount2	KELLOGS 2002 BON	10.0000	500.0000

End of Report

FIG. 73

09535877 032800

852

Matched Transactions By Client

X

Period From

14-Jul-1998

856

▼

Period To

29-Jan-2002

858

▼

Clients

Client IDs

Client48 - Client

Client49 - Client

Client5 - Client

Client50 - Client

Client6 - Client

Client7 - Client

Client8 - Client

Client9 - Client

XEROX - Xerox

▼

Unselect All

860

Report Action

864

View

866

Print

Save In File

Report

Clear

Close

Help

FIG. 74

09535877 032800

854

Matched Transactions By Client Report

☒☒

1 of 1+

☐☒☒☐☐☐

Total 6

100%

Δ

Date14/Jul/199803:40:23PM

Matched Transactions By Client

U

Period From14/Jul/1998

Client IDAll Client IDs

Period To29/Jan/2002

Bank records in grey background

ClientID

Statement Date	TransactionRef	Account No	Security ID	Price	Quantity
XEROX					
01/Jan/2000	1	XEROXACCI	MICROSOFT EQ US	12.0000000	1,000
01/Jan/2000	2	XEROXACCI	COKE US	7.0000000	2,000
01/Jan/2000	3	XEROXACC2	SUN EQ UK	12.0000000	500
01/Jan/2000	XEROX1001	XEROXSafAccount1	MICROSOFT EQ US	0.0000000	1,000
01/Jan/2000	XEROX1002	XEROXSafAccount1	COKE US	0.0000000	2,000
01/Jan/2000	XEROX1003	XEROXSafAccount2	SUN EQ UK	0.0000000	500

End of Report

Δ

Δ

FIG. 75

09535877 032800

868 — Unmatched Holdings By Client x

Period From 14-Jul-1998 v 872 Period To 29-Jan-2001 v 874

Client ID v

Client IDs

Client48 - Client	▲
Client49 - Client	
Client5 - Client	
Client50 - Client	
Client6 - Client	
Client7 - Client	
Client8 - Client	
Client9 - Client	
COCACOLA - Coca Cola Inc.	v

Unselect All — 876

Record Source v

☒ Fund Manager ☐ Custodian Bank ☐ Both

Report Action v

☒ View 882 ☒ Print 884 ☐ Save In File

Report Clear Close Help 880

FIG. 76

09535677 " 032800

870

Unmatched Holdings By Client Report

☒☐

1 of 1+

☐☒☐☐☐☐

Total 6

100%

Unmatched Holdings By Client

Us

Date 14/Jul/1998 03:37:56PM

Period From 14/Jul/1998

Period To 29/Jan/2001

Client ID All Client IDs

Option Both [Bank records in grey background]

ClientID	Value Date	Account No	Security ID	Price	Quantity
COCACOLA					
31/Dec/1999	COKEACCI		KELLOGS EQ US	1.5000	500.0000
31/Dec/1999	COKEACCI		PEPSI EQ US	25.0000	1,000.0000
31/Dec/1999	COKEACC2		KELLOGS 2002 BON	10.0000	500.0000
31/Dec/1999	COKESafeAccount1		KELLOGS EQ US	1.5000	500.0000
31/Dec/1999	COKESafeAccount1		PEPSI EQ US	25.0000	1,000.0000
31/Dec/1999	COKESafeAccount2		KELLOGS 2002 BON	10.0000	500.0000

End of Report

FIG. 77

09535877 in 032800

886

Unmatched Transactions By Client

X

Period From

14-Jul-1998

900

▼

Period To

29-Jan-2002

902

▼

Clients

Client48 - Client

Client49 - Client

Client5 - Client

Client50 - Client

Client6 - Client

Client7 - Client

Client8 - Client

Client9 - Client

XEROX - Xerox

▼

▼

▼

▼

Unselect All

904

Record Source

☒ Fund Manager

☐ Custodian Bank

☐ Both

Report Action

☒ View

906

☒ Print

908

☒ Save In File

912

Report

Clear

Close

Help

910

FIG. 78

09535877 032800

888

Unmatched Transactions By Client Report

☒

☒

1 of 1+

☐

☒

☒

☐

☐

☐

Total 6

100%

Date 14/Jul/1998 03:42:15PM

Unmatched Transactions By Client

Period From 14/Jul/1998

Period To 29/Jan/2002

Option Both [Bank records in grey background]

Client ID

Client ID All Client IDs

Statement Date	TransactionRef	Account No	Security ID	Price
XEROX				
01/Jan/2000	1	XEROXACC1	MICROSOFT EQ US	12.0000
01/Jan/2000	2	XEROXACC1	COKE US	7.0000
01/Jan/2000	3	XEROXACC2	SUN EQ UK	12.0000
01/Jan/2000		XEROXSafeAccount1	MICROSOFT EQ US	0.0000
01/Jan/2000		XEROXSafeAccount1	COKE US	0.0000
01/Jan/2000		XEROXSafeAccount2	SUN EQ UK	0.0000

End of Report

Δ

Δ

Δ

FIG. 79

09535877 032800

CARS - CrossMar Automated Reconciliation Services

File Edit View Administration Setup Query Reports Window Help

?

Form Level Actions - User Group Mapping

X

UserGroupID General

CARS-Forms

Match Results

Matched Holdings/Transactions Display

Unmatched Holdings/Transactions Display

Account Type

Clients

Match Groups

Fund Manager - Security Mapping

Fund Manager User Groups

Unmatched Holdings/Transactions Display

Action On Forms

Match

CB Comments

FM Comments

View details

Delete Fund Manager Records.

Delete Custodian Bank Records.

Allow(?)

☒

☒

☒

☒

☒

☐

Select All

Unselect All

Save

Close

Help

916

Users

Help

Archive

Unarchive

Print

Help

Close

Alan Schneider

NSTTUTIONALAD

03/21/2000

3:08PM

CAPS

NUM

FIG. 79a

09535877 "032800

CARS - CrossMar Automated Reconciliation Services				-	□	X
File Edit View Administration Setup Query Reports Window Help						
?						
Profile Setup						
X						
Basic Information		Export Details				
Fund Manager ID		INSTITUTIONALADV		Service		
Profile Type		MATCH		POS		
Custodian Banks		CITIBANK		Profile Name		
<input type="button" value="Get Custodians"/> <input checked="" type="button" value="Select All"/> <input type="button" value="Show Client"/>		<input checked="" type="button" value="Select All"/>		<input type="button" value="928"/>		
Date Range		Match Status				
<input type="radio"/> From 03/21/2000 <input type="button" value="v"/> To 03/21/2000 <input type="button" value="v"/>		<input type="radio"/> Matched <input type="radio"/> UnMatched <input type="radio"/> All				
<input type="radio"/> Last <input type="button" value="Days"/> <input type="radio"/> All						
<input type="button" value="Now"/> <input type="button" value="Save"/> <input type="button" value="Clear"/> <input type="button" value="Close"/> <input type="button" value="Help"/>						
Alan Schneider INSTITUTIONALAD 03/21/2000 3:19PM CAPS NUM						

FIG. 80

09535877 " 032800

147567406

EXPRESS MAIL NO.

CARS - CrossMar Automated Reconciliation Services										-	□	X		
File Edit View Administration Setup Query Reports Window Help														
										?				
Aging Report													X	
Fund Manager										INSTITUTIONALADV	▼	Service Type	POS	▼
Custodian & Clients										Details			Report	
Custodian Bank List													Close	
CITIBANK-Citibank													? Help	
Unselect All										Get Clients				
Client List														
111AK														
222AK														
333AK														
444AK														
555AK														
Select All														
Record Source														
FundManager										Custodian			Both	
Report Action										View			Print	
										Save to file				
Alan Schneider										NSTTUTIONALAD			03/21/2000	
										3:26PM			CAPS	
										NUM				

FIG. 80a

09535877 " 032800

CARS - CrossMar Automated Reconciliation Services										-	<input type="checkbox"/>	X		
File Edit View Administration Setup Query Reports Window Help														
										?				
Aging Report														
Fund Manager INSTITUTIONALADV Service Type POS												X		
Custodian & Clients Details												Report		
Aging Period Details												Close	? Help	
Start From Days 1														
Intervals of 5 Days														
Number of ranges 20														
Calculate Ranges												Match Group Level No.		
1 - 5 Days												Δ		
6 - 10 Days														
11 - 15 Days														
16 - 20 Days														
21 - 25 Days														
26 - 30 Days														
31 - 35 Days														
36 - 40 Days												▽		
Record Source														
O FundManager O Custodian O Both														
Report Action														
O View O Print O Save to file														
Alan Schneider										INSTITUTIONALAD	03/21/2000	3:26PM	CAPS	NUM

FIG. 80b

09535877 032800

EXPRESS MAIL NO.

147567406

Position Aging Report				Bank record(s) are in grey background	
From: 12-Dec-1999 To : 20-Mar-2000					
Account Components	BK Account	Value Date	Primary Security ID	Quantity	Maturity Date Market Value Local Currency
Custodian ID :			CITIBANK		
ClientID : 111AK			Number of Days in Range		51 - 55 Days
ClientID : 222AK					
ClientID : 333AK					
27-Mar-2000 3:27:23PM User : Alan Schneider Page 1 of 2					

FIG. 81

[illegible]

CARS - CrossMar Automated Reconciliation Services

File Edit View Administration Setup Query Reports Window Help

?

Custodian Cash Report

X

From 01/21/1999 To 03/21/2000

Report

Client ID

111AK-111AK
222AK-222AK
333AK-333AK
444AK-444AK
555AK-555AK

Clear
Close
? Help

☒ Select All

Report Action

☒ View ☐ Print ☐ Save In File

Report Type

☐ Summary ☒ Detail

Alan Schneider

NSTITUTIONALAD

03/21/2000

3:28PM

CAPS

NUM

FIG. 82

09535877 032800

7567406

EXPRESS MAIL NO.

⏪

⏩

1 of 1+

⏪

⏩

□

□

□

50%

⏪

Total: 15

100%

15 of 15

-

□

X

Custodian Cash Report

Custodian Cash Report - Detail Statement

Period Settlement Date : 21-Jan-2000 Client ID : 444AK-444AK

Client

Custodian

Company

Settlement Date

Safeguarding Account

Primary Account

444AK

CITIBANK

USD

01-Jan-2000

Opening Balance

15,311,346.52

15,311,346.52

Closing Balance

12,923,947.89

12,923,947.89

27-Mar-2000 3:27:23PM

User : Alan Schneider

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FIG. 83

09535877 "032800